

**THE CITY OF WATERBURY**  
**Lead Hazard Reduction and Healthy Homes Intervention**  
**124 Southview ST**  
**Waterbury, CT**  
**INVITATION TO BID**

**#8869**

Sealed Bids for Lead Hazard Reduction and Healthy Homes Intervention at **124 Southview Street** will be received by the City of Waterbury at the office of the Director of Purchasing at **11:00 AM July 14, 2026** and at that time and place will be publicly opened and read aloud. No bids will be received after **11:00 A.M.** on the day the bids are to be opened.

The Work of Lead Hazard Reduction and Healthy Homes Intervention. Two separate bids required.

Bids must be enclosed in an opaque sealed envelope and plainly marked with the name of the Project Title The Lead Hazard Reduction and Healthy Homes Intervention **124 Southview ST** and shall contain the name and address of the Bidder on the envelope.

Each Bid of \$50,000.00 or more shall be submitted in accordance with the Instructions to Bidders and be accompanied by a Bid Security (a Certified Check or Bid Bond) in the amount of **ten (10) percent of the Total Bid Price**.

**The Bidder to whom a contract is offered, must furnish to the City, if that contract has a total cost greater than \$50,000, a 100 percent Performance Bond with a surety company acceptable to the City and in a form acceptable to the City. In the City's sole discretion, it may also require a 100 percent Payment Bond and/or other additional security with a surety acceptable to the City and in a form acceptable to the City.**

**Complete instructions for filing Bids are included in the Instructions to Bidders.**

After review of the factors set forth in the Instructions to Bidders, the City reserves the right to reject any and all Bids, to make an award, or to decline to make an award.

**A mandatory pre-bid conference will be held at 124 Southview Street at 10:45 A.M. on June 29, 2026. Attendance at the pre-bid conference by a representative of each Bidder is mandatory.**

The United States Department of Housing and Urban Development (HUD), Office of Healthy Homes and Lead Hazard Control has awarded the Waterbury Department of Public Health and the Healthy Homes Program funding to carry out activities associated with a Lead Based Paint Hazard Control Program.

Contractors must be familiar with and have knowledge of Federal and State statutes, laws and regulations governing the services outlined in the attached specifications including, but not limited to HUD's Lead Safe Housing Rule 24 CFR Part 35, Subpart R: Methods and Standards for Lead-Based Paint Hazard Evaluation and Hazard Reduction Activities; HUD's Guidelines for the Evaluation & Control of Lead-Based Paint Hazards in Housing; the EPA's rule on Lead-Based Paint Poisoning Prevention in Certain Residential Structures 40 CFR Part 745 subparts D, E and L, 2CFR Part 200: Uniform Administrative Requirements for Federal Awards, Final Guidance and the State of Connecticut

Department of Public Health Lead Poisoning Prevention and Control Regulations: 19-111-1 through 19-111-11.

Since the Projects are federally funded, contractors and subcontractors must at all times be in full compliance with all applicable statutes, acts, ordinances, guidelines, resolutions, orders, judgments, decrees, injunctions, rules and regulations of all government authorities applicable to performance by the provider of services hereunder, including those having jurisdiction over their registration and licensing to perform services hereunder; including but not limited to the following: The Equal Employment Opportunity Act; the Copeland Anti-Kickback Act, as supplemented in the Department of Labor Regulations (6 CFR Part 3); the Davis-Bacon Act as supplemented by the Department of Labor Regulations (6 CFR part 5); section 103 and 107 of the Contract Work Hours and Safety Standards Act, as supplemented by the Department of Labor Regulations (6 CFR part 5); and the Cranston-Gonzalez National Affordable Housing Act, as amended. All applicable sections of the City Charter and Code of Ordinances are incorporated by reference and made a part hereof.

Due to the Federal Funding stated above, the two percent (2%) contractor preference granted to Waterbury Bidders required by section 38.42 of the Waterbury Procurement Ordinance does not apply to this request for proposal.

The successful bidder must not be delinquent in any obligation to the City of Waterbury, including but not limited to, taxes, water and sewer charges, parking violations, etc.

The City of Waterbury is an Equal Opportunity and Affirmative Action employer and does not discriminate in its hiring, employment, or business practices. The City is committed to complying with the Americans with Disabilities Act of 1990 (ADA) and does not discriminate on the basis of disability, in admission to, access to, or operation of its programs, services, or activities.

This project is subject to Title 24 PART 135—ECONOMIC OPPORTUNITIES FOR LOW- AND VERY LOW-INCOME PERSONS.

**END OF SECTION**

**THE CITY OF WATERBURY**

**Lead Hazard Reduction and Healthy Homes Intervention**

**124 Southview ST**

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**THE CITY OF WATERBURY**  
**Lead Hazard Reduction and Healthy Homes Intervention**  
**124 Southview ST**  
**SECTION 00100**

**INSTRUCTIONS TO BIDDERS**

**ARTICLE 1. QUALIFICATIONS OF BIDDERS**

- 1.1 In evaluating Bids, the City of Waterbury (the “City”) will consider the qualifications of only those Bidders whose Bids, among other factors, are in compliance with the requirements set forth in the Bid Documents.
- 1.2 The City reserves the right to reject any Bid based upon Bidder’s prior history with the City or with any other party that demonstrates, without limitation, failure to perform or unsatisfactory performance in accordance with the terms of one or more contracts or purchase orders or significant failure(s) to meet contractual obligations.

**ARTICLE 2. COPIES OF BID DOCUMENTS**

- 2.1 Complete sets of Bid Documents shall be used in preparing Bids; neither the City nor its representative assume any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bid Documents.
- 2.2 City and it’s representative in making copies of Bid Documents available do so only for the purpose of obtaining Bids on the Work and do not confer a license or grant for any other use.

**ARTICLE 3. EXAMINATION OF BID DOCUMENTS AND SITE**

- 3.1 Before submitting a Bid, each Bidder must (a) examine the Bid Documents thoroughly, (b) familiarize itself with all Federal, State and local laws, ordinances, rules and regulations that may in any manner affect cost, progress or performance of the Work; and (c) study and carefully correlate the Bidder’s observations and findings with the requirements of the Bid Documents.
- 3.2 The submission of a Bid will constitute an incontrovertible representation by the Bidder that it has complied with every requirement of this Article 3 and that the Bid Documents are sufficient in scope and detail to indicate and convey all terms and conditions necessary for the Bidder’s proposed performance of the Work.

**ARTICLE 4. INTERPRETATIONS**

- 4.1 All questions about the meaning or intent of the Bid Documents must be submitted to the City Procure Ware **website by 2:00 PM July 6, 2026.**
- 4.2 **The City will issue written clarifications or interpretations by Addenda online at the City of Waterbury Procure Ware website not later than 2:00 PM July 8, 2026 be binding. Oral and other clarifications or interpretations will not be binding and will be without legal effect.**

- 4.3 Each Bidder shall be responsible for determining that it has received all Addenda issued and shall acknowledge receipt of all Addenda on the Addendum Acknowledgment Form and the Bidder shall list therein all written Addenda number(s) issued by the City

#### ARTICLE 5. PRE-BID CONFERENCE }

- 5.1 **A mandatory pre-bid conference will be held at the time and place indicated in the Invitation to Bid to discuss the requirements of the Bid Documents. Attendance at the pre-bid conference is mandatory by a representative of each perspective Bidder.**

#### ARTICLE 6. BID FORM

- 6.1 Each Bid shall be submitted on the Bid Form included in Section 00400 of the Bid Documents. The Bid Form shall be removed from the Bid Documents, filled in as required below, and submitted to the City. Bidders must fill in all blank spaces on the Bid Form for Bid prices, including without limitation unit prices, extended prices and total price or the Bid will not be considered and shall be void
- 6.2 Bid Forms shall be completed in ink. The Bid price of each item on the form shall be stated in words and in figures. If unit prices are required on the Bid Form, discrepancies between unit prices and their respective total amounts will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.
- 6.3 All names shall be typed or printed below the signature.
- 6.4 The name and address to which communications regarding the Bid are to be directed shall be shown.
- 6.5

**One original (clearly identified as such) and one (1) paper copy of the Bid, as well as a copy of the original Bid in pdf format on a CD or flash drive, must be received at the following address no later than 11:00 **AM on July 14, 2026** (no Bids received after that time shall be considered):**

**Mr. Kevin McCaffery  
Director of Purchasing  
City of Waterbury  
235 Grand Street, Room 103  
Waterbury, CT 06702**

## ARTICLE 7. RECEIPT OF BIDS

- 7.1 Sealed Bids for the Work of this Project will be received at the time and place indicated in the Invitation to Bid.
- 7.2 CITY, in its sole discretion, may refuse to consider any Bid not prepared and/or not submitted in accordance with the Bid Documents. **Bids will not be received or accepted by the City from perspective Bidders who did not attend a mandatory pre-bid conference**
- 7.3 Bidders are cautioned that it is the responsibility of each individual Bidder to assure that its Bid is in the possession of Kevin McCaffery Director of Purchasing, or an alternate designated by him, prior to the stated time and at the place of the Bid Opening. CITY is not responsible for Bids delayed by mail and/or delivery services of any nature.

## ARTICLE 8. MODIFICATION AND WITHDRAWAL OF BIDS

- 8.1 Bids may be modified only by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to that time scheduled by the City for the opening of Bids.
- 8.2 A Bid may be withdrawn by the Bidder prior to the scheduled time (or authorized postponement thereof by the City) for the opening of Bids.
- 8.3 **Any Bid received after the time and date specified as the time for the City's opening of Bids shall not be considered. Once bids are opened by the City, no Bidder may withdraw its Bid for a period of ninety (90) days (as needed), excluding Saturdays, Sundays and legal holidays, after the actual date of the City's opening of the Bids.**

## ARTICLE 9. LOWEST RESPONSIBLE BIDDER

- 9.1 A contract may be awarded to the Lowest Responsible Bidder. The term "Lowest Responsible Bidder" as used herein shall mean the Bidder whose Total Bid Price is the lowest of those Bidders possessing, without limitation, the skill, ability, expertise, experience, qualifications and integrity necessary for the faithful performance of the Work, as determined by the CITY.
- 9.2 After review of these and other factors, including without limitation, responsiveness, qualifications and price, the CITY reserves the right to reject any and all Bids, to decline to make an award, to waive any and all informalities if it is in the CITY'S best interest to do so. The City reserves the right to disregard all nonconforming, nonresponsive, conditional Bids, and Bids taking exception(s) to the Bid Documents.
- 9.3 A Bid which includes, for any Item(s), a Bid Price that is abnormally low or high may be rejected in its entirety.

- 9.4 CITY reserves the right to reject the Bid of any Bidder that CITY considers not to possess the qualities set forth in Article 1 herein.

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#### ARTICLE 10. PURCHASE ORDER ISSUANCE/AWARD AND EXECUTION OF CONTRACT

- 10.1 If a purchase order(s) is to be issued, it will be issued within ~~ninety (90) calendar days~~, excluding Saturdays, Sundays, and legal holidays, after the actual date of the opening of the Bids.
- 10.2 If a contract is to be awarded, CITY will give the Lowest Responsible Bidder a Notice of Award within ~~ninety (90) calendar days~~, excluding Saturdays, Sundays, and legal holidays, after the actual date of the opening of the Bids.
- 10.3 Subsequent to a Notice of Award, if any, to the Lowest Responsible Bidder (hereinafter "Contractor"), multiple unsigned copies of a contract and all other applicable contract documents will be made available to the Lowest Responsible Bidder for its execution.

Within five ~~(5) calendar days~~ excluding Saturdays, Sundays and legal holidays, thereafter Contractor shall sign and return all copies of the contract and all other applicable contract documents, including without limitation, all required bonds and certificates of insurance to the City. Thereafter, upon all required reviews, approvals, and City signature, the City will deliver one fully signed copy of the contract to Contractor. The City shall incur no obligations, contractual or otherwise, unless and until the City signs a contract, delivers a signed copy of the contract to the Contractor, and the City delivers to the Contractor a City's written notice to proceed.

#### ARTICLE 11. ACCESS TO SITE

- 11.1 Representatives of the State and any local or federal agencies having an interest in the Work shall have access to the Work wherever it is in preparation or progress and the CONTRACTOR shall provide proper facilities for such access and inspection.

#### ARTICLE 12. SALES TAX

- 12.1 The goods and services to be provided under any contract or purchase order awarded pursuant to this Invitation to Bid is exempt from the sales taxes of the State of Connecticut.

#### ARTICLE 13. INSURANCE

- 13.1 The Contractor shall not commence Work under this Contract until all insurance required under Section 13 has been obtained by the Contractor and such insurance has been reviewed and approved in writing by the City. The Contractor shall not allow any subcontractor to commence work on any subcontract until all insurance required of any such subcontractor has been so obtained and approved by the City. Insurance shall be provided by insurers satisfactory to the City and authorized to do business in the State of Connecticut, and with an "A-" Best's Rating or better and at least a Class V3 or better financial size category as shown in the most current A.M. Best Company ratings.

13.2 At no additional cost to the City, the Contractor shall purchase and maintain the insurance coverages set forth below which shall protect the City from claims which may arise out of or result from the Contractor's obligation under this contract, whether such obligations are the Contractor's or subcontractor or person or entity directly or indirectly employed by said Contractor or subcontractor, or by any person or entity for whose acts said Contractor or subcontractor may be liable.

13.3. Each insurance policy shall state that the insurance company shall agree to investigate and defend the insured against all claims for damages, even if groundless. If any insurance required herein is to be issued or renewed on a claims made form as opposed to an occurrence form, the retroactive date for coverage shall be no later than the commencement date of this Contract and shall provide that in the event of cancellation or non-renewal, the discovery period for insurance claims ("Tail Coverage") shall be available for at least 60 months.

13.4. The following policies with stated limits shall be maintained, in full force and effect, at all times during which the services are to be performed by the Contractor:

**13.4.1 General Liability Insurance: \$1,000,000.00 per occurrence, \$2,000,000.00 aggregate and \$2,000,000.00 Products and completed operations aggregate**

Providing coverage to protect the City for all damages arising out of bodily injuries, sickness to or death of all persons in any one accident or occurrence and for all damages arising out of destruction of property in any one accident or occurrence.

**13.4.2 Automobile Liability Insurance: \$1,000,000.00 combined single limit (CSL)**

Providing coverage to protect the City with respect to claims for damage for bodily injury and or property damage arising out of ownership, maintenance, operation, use or loading and unloading of any auto including hired & non-owned autos.

**13.4.3 Workers' Compensation: Statutory Limits within the State of Connecticut: Employers' Liability:**

EL Each Accident **\$1,000,000.00**

EL Disease Each Employee **\$1,000,000.00**

EL Disease Policy Limit **\$1,000,000.00**

Contractor shall comply with all State of Connecticut statutes as it relates to workers' compensation.

**13.4.4 Excess/Umbrella Liability Insurance: Excess or Umbrella insurance coverage that follows form or sits over General Liability, Automobile Liability and Workers Compensation insurances. \$1,000,000.00 each occurrence and \$1,000,000.00 Aggregate.**

**13.4.5 Builder's Risk Insurance: coverage equaling \$\_\_\_\_\_**, the monetary value of the construction component of the Project.

"All Risk" Builders Risk insurance (also know as "course of construction") coverage with limits equal to or better than the maximum possible loss of all materials of construction used or contemplated under this Contract, including all materials in transit and all materials in storage wherever stored and the value of any and all subsequent Contract changes. This insurance shall include the interests of the City, the Contractor and any and all subcontractors. If deemed necessary by the City's Risk Manager, this insurance shall also include coverage for the total value of the Project's constructed property and shall be valid until a certificate of occupancy is issued. Upon the issuance of said certificate, the City will assume responsibility for insuring said property.

**13.4.6 Contractors Pollution Liability Insurance: \$1,000,000.00 each claim, \$2,000,000.00 aggregate coverage.**

The foregoing per claim coverage plus appropriate aggregate coverage depending on the size of the job for contractor caused pollution events such as asbestos or lead abatement, but not limited to only these pollution causes of loss.

**13.5. Failure to Maintain Insurance:** In the event the Contractor fails to maintain the minimum required coverage as set forth herein, the City may at its option purchase same, and offset the Contractor's invoices for the cost of said insurance.

**13.6. Cancellation:** The City of Waterbury shall receive written notice of cancellation from the Contractor at least thirty (30) calendar days prior to the date of actual cancellation, regardless of the reason for such cancellation. Mailed to the City's Using Agency and a copy to the City's Office of Corporation Counsel, 235 Grand Street, Waterbury, CT 06702.

**13.7. Certificates of Insurance:** The Contractor's General, Automobile, Builder's Risk and Excess/Umbrella Liability Insurance policies shall be endorsed to add The City of Waterbury and Waterbury Lead and Healthy Homes as an additional insured and provide waiver of subrogation on all policies except Builder's Risk and Pollution Liability. The insurance afforded the additional insured shall be primary and non-contributory insurance and the coverage and limits provided under the Contractor's policies shall not be reduced or prorated by the existence of any other insurance applicable to any loss the additional insured may have suffered. Prior to the execution of this Contract by the City, the Contractor shall furnish to the City, subject to City approval, certificate(s) of insurance and Additional Insured Endorsement and Waiver of Subrogation Endorsement verifying the above coverages, including the naming of the City of Waterbury, as follows: **"The City of Waterbury and Waterbury Lead and Healthy Homes are listed as additional insured on all lines of coverage except Workers' Compensation and Pollution Liability and waiver of subrogation applies to all lines of coverage except Pollution Liability and Builder's Risk as their interest may appear"**. The City's Invitation to Bid Number must be shown on the certificate of insurance to assure correct filing. The Contractor must supply replacement/renewal certificates at least 30 days prior to the expiration of the policy(ies).

**13.8.** No later than thirty (30) calendar days after receipt by the Contractor, the Contractor shall deliver to the City a copy of the Contractor's insurance policies and endorsements and riders.

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#### ARTICLE 14. PURCHASE ORDER/CONTRACT TIME

14.1 BIDDER agrees and covenants that the Contract Time shall commence upon delivery of the CITY's written notice to proceed, which shall occur after contract execution by both parties.

14.2 BIDDER agrees and covenants that the purchase order time shall commence upon issuance and terminate **on October 15, 2026 unless** the purchase order provides otherwise.

#### ARTICLE 15. BID DOCUMENTS.

15.1 Bid Documents shall be any and all sections, terms, conditions, forms, drawings, data, etc., listed in the Table of Contents of the Bid Documents.

#### ARTICLE 16. Federal, State and Local Employment Requirements.

16.1 Contractors, if applicable, shall be obligated to fully comply with the attached Hiring of Waterbury Residents on Certain Publicly-Funded Construction Projects, i.e. City of Waterbury Ordinances Chapter 34 ("Good Jobs Ordinance"), Federal Davis-Bacon Act, Federal American Recovery and Reinvestment Act of 2009, and the Housing and Urban Development Section 3 Clause, all as further specified in the attached City of Waterbury Contract form. Also attached hereto, is a full copy of the aforesaid City of Waterbury Ordinance, commonly referred to as the "Good Jobs Ordinance".

#### 16.2 **NOT APPLICABLE**

i. The contractor who is selected to perform this municipal public works project, funded in whole or part by the State, must comply with CONN. GEN. STAT. §§ 4a-60, 4a-60a, 4a-60g, and 46a-68b through 46a-68f, inclusive, as amended by **Public Act 23-204 and Public Act 25-168**. An Affirmative Action Plan must be filed with and approved by the Commission on Human Rights and Opportunities prior to the commencement of construction.

ii. State law requires a minimum of twenty-five (25%) percent of the state-funded portion of the contract be set aside for award to subcontractors holding current certification from the Connecticut Department of Administrative Services ("DAS") under the provisions of CONN. GEN. STAT. § 4a-60g. (25% of the total state-funded value with DAS-certified Small Businesses and 6.25% of the total state-funded value with DAS-certified Minority-, Women-, and/or Disabled-owned Businesses.) The contractor must demonstrate good faith effort to meet the 25% set-aside goals.

iii. For municipal public works contracts, the contractor must file a written or electronic non-discrimination certification with the Commission on Human Rights and Opportunities. Forms can be found at <https://portal.ct.gov/chro/contract-compliance/contract-compliance/contract-compliance-forms-and-reports>

**THE CITY OF WATERBURY**

**124 Southview ST**

**SECTION 00300**

**THE CITY OF WATERBURY**

**Lead Hazard Reduction and Healthy Homes Intervention**

**SECTION 00300**

**ADDENDUM ACKNOWLEDGEMENT FORM**

NOTE: The Bidder is to complete, sign and date this form. The completed form shall be submitted with the BID FORM in accordance with ARTICLE 6 of the INSTRUCTIONS TO BIDDERS.

The undersigned, as Bidder's Authorized Representative, acknowledges receipt of the following Addenda and that the modifications to the Bid Documents noted therein have been considered and all costs related thereto are included in the Bid Prices:

Addendum # _____	Dated Issued _____
Addendum # _____	Dated Issued _____
Addendum # _____	Dated Issued _____
Addendum # _____	Dated Issued _____
Addendum # _____	Dated Issued _____
Addendum # _____	Dated Issued _____

**Business Name of Bidder:** \_\_\_\_\_

(Print or Type)

**By Bidder's Authorized Representative:**

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

(Print or Type)

Title: \_\_\_\_\_

(Print or Type)

Date: \_\_\_\_\_

(Print or Type)

**END OF SECTION**

\_\_\_\_\_

In the event of mathematically incorrect calculations of individual items or totals, the mathematically correct amount using the estimated quantities and unit prices (in words) shall govern in determining the TOTAL BID PRICE.

The undersigned also agrees that the quantities indicated are for Bid comparison purposes only and are not represented to be actual quantities for completion of the Work.

The undersigned hereby certifies under the penalties of perjury that this Bid is in all respects bona fide, fair and made without collusion or fraud with any other person. As used in this section, the work "person" shall mean any natural person, joint venture, partnership, corporation, or other business or legal entity.

\_\_\_\_\_  
Social Security Number  
or Federal Identification Number

Signature of Individual or Corporate Name:  
\_\_\_\_\_

\_\_\_\_\_  
Corporate Officer (if applicable)

Notice of acceptance should be mailed, telegraphed or delivered to the (undersigned Bidder at the following address):

\_\_\_\_\_  
(Name)

By: \_\_\_\_\_

(Title)

\_\_\_\_\_  
(Business Address)

\_\_\_\_\_  
(City, State, Zip Code)

Date: \_\_\_\_\_

Note: If the Bidder is a corporation, indicate State of incorporation under signature, and affix corporate seal; if a partnership, give full names and residential addresses, if different from business address.

The following documents are attached to and made a condition of the Bid:

- a. Instructions to Bidders – Section 00100
- b. Addendum Acknowledgement – Section 00300
- c. Bid Form – Section 00400
- d. Technical Specifications – Section 00500

**WATERBURY HEALTHY HOMES PROGRAM**

**FINAL BID FORM**

**Bid # 8869**

**124 Southview ST**

**WATERBURY, CONNECTICUT**

**July 14, 2026 11:00 A.M.**

**CONTRACTOR** \_\_\_\_\_

**LEAD-BASED PAINT**

LINE ITEMS

COSTS

1-10

\_\_\_\_\_

**INTERVENTION**

LINE ITEMS

COSTS

1-4

\_\_\_\_\_

**TOTAL COSTS**

\_\_\_\_\_

**BID WILL BE AWARDED ON LEAD BASED PAINT AND INTERVENTION TOTAL**

**TABLE A**  
**LEAD ABATEMENT BID SHEET**  
**124 SOUTH VIEW STREET, WATERBURY, CT**  
**Contractor please provide detailed cost per unit information**

Item No.	Room / Area	Component	Side	Units	Abatement Method	Cost per Unit	Total Cost
	Unit # 1	First Floor					
1	Entry Rm 101	Entry Door Jamb, Frame	A	1	Replace with new pre-hung entry door system.		
2	Hallway 105	Door Jamb, Casing	A, C, D	3	Replace with new pre-hung solid core door. Liquid Encapsulate door casing lintel and trim.		
	Unit # 2	Second Floor					
3	Hallway 204	Door Jamb, Casing	A, C, D	3	Replace with new pre-hung solid core door. Liquid Encapsulate door casing and trim.		
	Unit # 3	Third Floor					
4	Hallway 303	Door Jamb, Casing	A, C, D	3	Replace with new pre-hung solid core door. Liquid Encapsulate door casing lintel and trim.		
5	Bathroom 304	Baseboard	A, B, C, D	1	Liquid Encapsulation		
6	House Living Areas Units 1, 2, 3 Common Area including Front and Rear Entry Porches	Entire Room / Area All surfaces including ceiling, wall, trim, floor	A, B, C, D	1	Specialized Lead Safe Cleaning		

**TABLE A**  
**LEAD ABATEMENT BID SHEET**  
**124 SOUTH VIEW STREET, WATERBURY, CT**      **Contractor please provide detailed cost per unit information**

Item No.	Room / Area	Component	Side	Units	Abatement Method	Cost per Unit	Total Cost
	Common Area						
7	Exterior 1st Floor Front Porch 112	Porch Floor	A, B, C, D	1	Enclose floor with ACX plywood and paint with non-slip paint.		
		Porch System (Structural) floor, wall, column, beam, joist footings.	A, B, C, D	1	Structural Repair Jack up and repair sinking floors/walls caused by defective C/D column. Replace any rotted / deteriorated beams / joists / footings, etc., as needed.		
		Porch column, wall, railing, post, baluster	A, B, C, D	1	Liquid Encapsulation		
		Floor	A, B, C, D	1	Enclose with new deck boards or ACX plywood. Prime, Non-Slip Paint to attain uniform finish.		
		Stair treads, risers	A, B, C, D	1	Vinyl Enclose stair treads & risers with aluminum edging caulked.		
		Stair Wall, Railing Post & Stringer	A, B, C, D	1	Liquid Encapsulate Stair Wall, Post, and Stringer.		
		Stair Railing & Baluster	A, B, C, D	1	Remove existing stair railing and baluster and replace with new construction to code.		
		Exterior Siding and Trim	C, D	1	Rigid Enclosure with new vinyl siding & aluminum coil stock to enclose trim.		
8	Rear Porches 111, 209, 308 All Levels						

**TABLE A**  
**LEAD ABATEMENT BID SHEET**  
**124 SOUTH VIEW STREET, WATERBURY, CT**      **Contractor please provide detailed cost per unit information**

Item No.	Room / Area	Component	Side	Units	Abatement Method	Cost per Unit	Total Cost
9	Exterior House All Levels including gables, & porches	Window Trim, Door Trim, Trim Board, Beam	A, B, C, D	1	Rigid Enclosure with aluminum coil stock to enclose trim.		
10	Exterior House Dripline	Soil	C, D	1	Ground Cover Mulch – Furnish materials and install toe boards, landscape fabric and mulch to fully cover bare soil.		

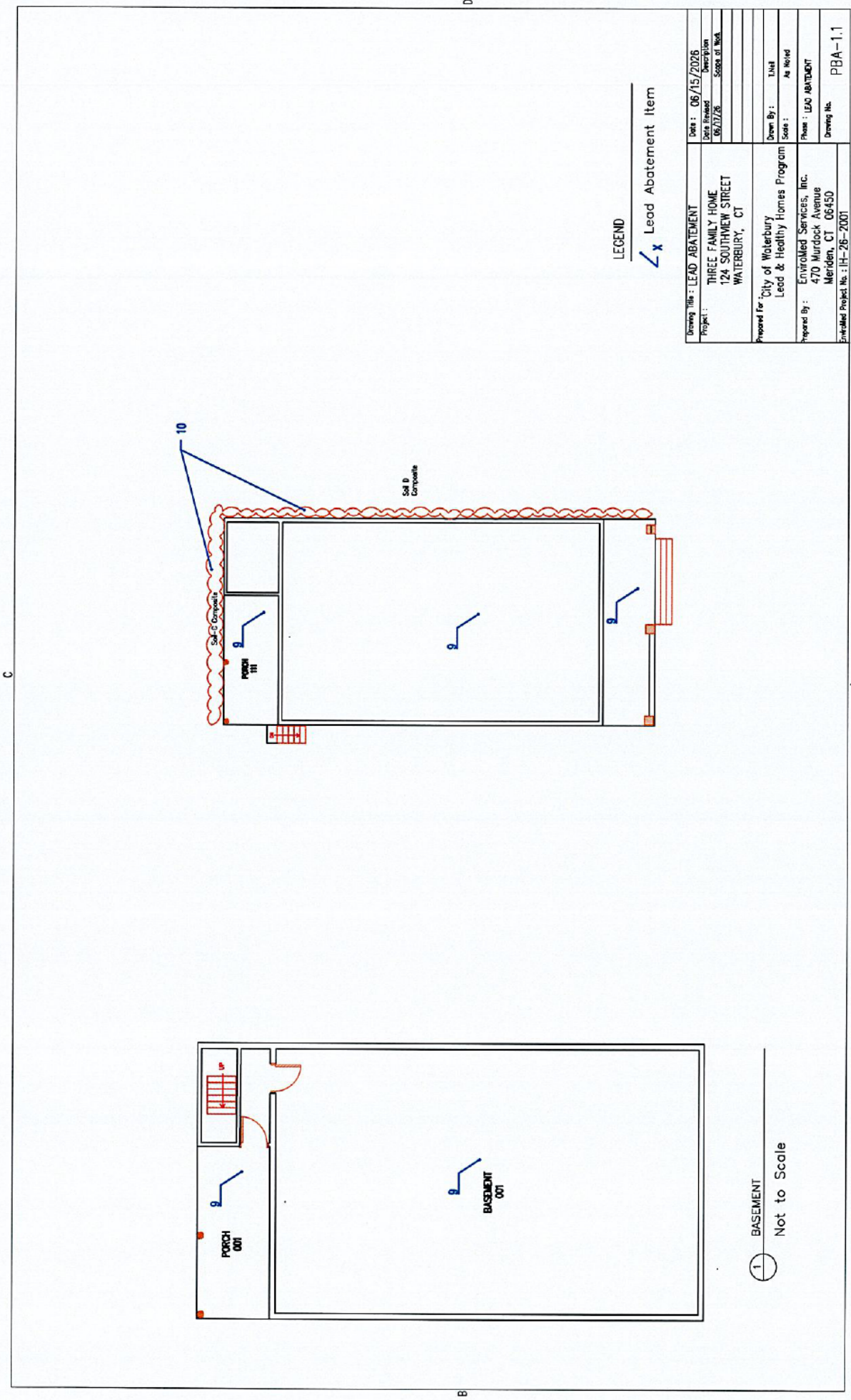
Item No.	Area	Component	Side	Units	Healthy Homes Safety Intervention	Cost per Unit	Total Cost
1	Pantry 109	Electric	C	1	Install GFCI outlet near washer hookup.		
2	Front Common Stairs (200/309)	Handrails	-----	-----	Furnish and install returns for existing handrails.		
3	Front Common Stairs (200/309)	Winder Strips	-----	-----	Install winder strips as needed to code.		
4	Front Common Stairs (200/309)	Doors	B	2	Install new pre-hung, self-closing 90-minute fire rated door system at entrances to second and third floor units.		

**THE CITY OF WATERBURY**

**Lead Hazard Reduction and Healthy Homes Intervention**  
**124 Southview Street**

**SECTION 00500**

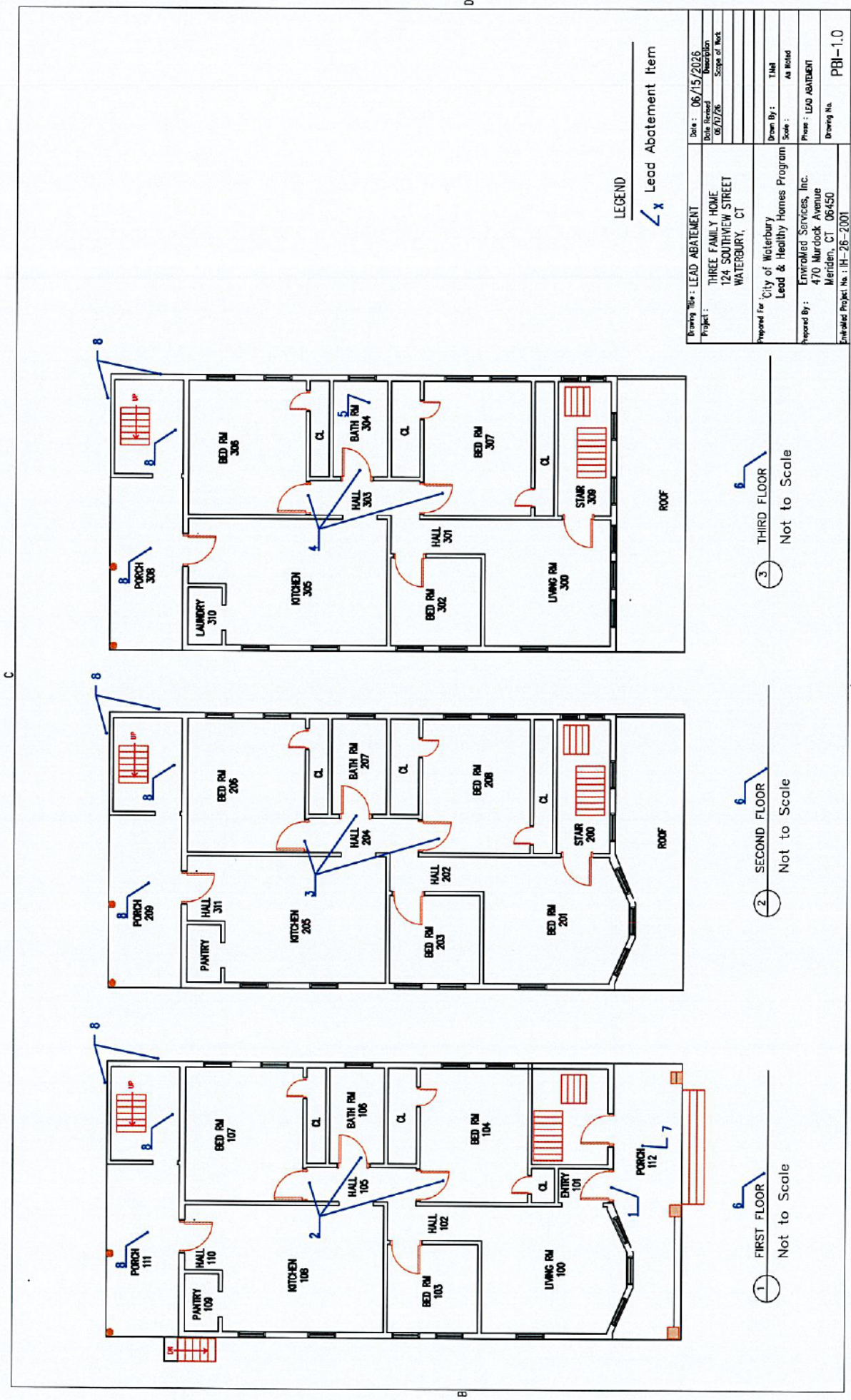
**TECHNICAL SPECIFICATIONS**



LEGEND  
 X Lead Abatement Item

Drawing Title: LEAD ABATEMENT		Date: 06/15/2026
Project: THREE FAMILY HOME 124 SOUTHWEN STREET WATERBURY, CT		Date Revised: 06/30/26
Prepared for: City of Waterbury Lead & Healthy Homes Program		Description: Scope of Work
Prepared by: EnviroMed Services, Inc. 470 Murdock Avenue Meriden, CT 06450	Drawn By: T.H.H.	Scale: As Noted
EnviroMed Project No.: IH-26-2001	Phase: LEAD ABATEMENT	Drawing No. PBA-1.1

1 BASEMENT  
 Not to Scale



LEAD ABATEMENT  
 / X Lead Abatement Item

Drawing Title: LEAD ABATEMENT	Date: 06/15/2026
Project: THREE FAMILY HOME 124 SOUTHWEN STREET WATERBURY, CT	Date Revised/Description: 06/17/26
Prepared for: City of Waterbury Lead & Healthy Homes Program	Scale: As Noted
Prepared By: Enviromed Services, Inc. 470 Murdoch Avenue Meriden, CT 06450	Drawn By: T.H.H.
Enviromed Project No.: IH-26-2001	Phase: LEAD ABATEMENT
	Drawing No. PBI-1.0

3 THIRD FLOOR  
 Not to Scale

2 SECOND FLOOR  
 Not to Scale

1 FIRST FLOOR  
 Not to Scale

2. Pollution Liability Insurance
3. Manufacturer's and Contractor's Liability Insurance

## 1.7 CONTRACT ASSIGNMENT

1. The contractor shall not assign this contract without written consent of the City of Waterbury. A request for written consent shall be approved by City of Waterbury and the building owner. EnviroMed Services, Inc., should be informed prior to assignment of this contract.

## PART 2 PRODUCTS

### 2.1 MATERIALS

- a) **Baseboard – Quarter Round Molding (for baseboards with exposed edge)** Unless otherwise noted, furnish and provide quarter round pine wood molding to cover any molding edge projecting one half inch or greater ("chewable surfaces"). Miter cut inside and outside corners to fully enclose any edges of molding.
- b) **Doors (Interior):** Unless otherwise noted, interior doors shall be 1 3/8" solid core medium-density fiberboard (MDF) doors manufactured by Brosco or approved equal. Shim doors plumb, level and square. Shim doors plumb, level and square. New doors shall be installed in pine jambs with 1 pair of 3" loose pin butt hinges. Fasten doors to rough framing through shims with 10-penny finish nails. Trim out both sides of new doors with finger jointed casings to match existing. Glue miters before fastening trim to jamb and wall. Fasten trim to walls with 6-penny finish nails and to jambs with 4-penny nails. Set heads of nails below surface of wood and fill with putty. Install passage set as manufactured by Schlage, Kwikset, Harlock, or approved equal. If a hollow core door does not comply with CT Building Code and/or CT Fire Safety Code, install a door that is code compliant.
- c) **Liquid Encapsulation**  
Liquid Encapsulation to be performed in accordance with the CT DPH guidance document "The Application and Maintenance of Liquid Encapsulants: For code enforcement officials, property owners, and lead abatement contractors. Comply with manufacturer's recommendations as to environmental conditions under which encapsulant coating systems can be applied.
  - A. L-B-C LEAD BARRIER COMPOUND – (TYPE III INTERIOR/EXTERIOR), Product ID: 5800-5 (Antique Linen), 5801-5 (Tintable White), 5802-5 (Black). Manufactured by FIBERLOCK, a brand of ICP CONSTRUCTION located at 150 Dascomb Road, Andover, MA. 01810. (800-342-3755)

www.fiberlock.com [or equal]

Key Performance Attributes of Encapsulant

- a) Exposure: Interior/Exterior
  - b) Dry Film Thickness Compliance to ASTM E 1795 (Interior Use): 7 mils DFT
  - c) Dry Film Thickness Compliance to ASTM E 1795 (Exterior Use): 7 mils DFT
  - d) Finish: Matte
  - e) Specular Gloss:  $5.5^{\circ} \pm 1$  @  $60^{\circ}$
  - f) Volume Solids:  $45.0\% \pm 2$
  - g) Weight Solids:  $59.0\% \pm 2$
  - h) Viscosity @  $77^{\circ}\text{F}$ : 95-120 KU @  $70^{\circ}\text{F}$
  - i) Maximum VOC: 88 g/l
  - j) Flame Spread (UL 723): 0
  - k) Smoke Development (UL 723): 0
  - l) Contains FDA-approved Anti-Ingestant: Yes (Bitrex®)
  - m) Underwriter's Laboratories (UL®): Classified
- B. Specific surface preparation products, and topcoats/finishes may be incorporated into a project specific system. Encapsulant and supplementary product systems shall be applied in accordance with manufacturer's instructions and these specification documents. Unless an alternative product is submitted to Construction Manager/ Architect/Engineer and deemed acceptable (see Section 1.04, Note "a" of this specification), supplementary materials (topcoats, primers, caulking/foam) only of type and from a source recommended by the manufacturer (of the encapsulant) shall be used.
- C. Ensure inclusion on labels of containers of encapsulant and all supplementary products:
- a) Product name, and type (description)
  - b) Batch Number
  - c) Manufacture date.
  - d) Product SKU
  - e) Color number/identification.

Additional References

- 1. Lead and Environmental Hazards Association (LEHA)
- 2. American Society for Testing and Materials (ASTM)
- 3. U.S. Environmental Protection Agency (USEPA, EPA)
- 4. U.S. Department of Housing and Urban Development (HUD)

d) **Porch Floor Rigid Enclosure**

Repair or replace any existing damaged flooring and or any deteriorated joist framing. Furnish and install deck boards or ACX plywood to fully enclose the existing porch floor and install aluminum or vinyl edge moldings to cover any exposed edges. Caulk all seams associated with plywood. Apply sealer / stain or primer and skid resistant paint (owners' choice).

- e) **Paint:** Prep, prime, and paint all new or existing stripped components (except pressure treated wood) unless specifically indicated otherwise. Two (2) coats of paint shall be applied. Paint shall be manufactured by Benjamin Moore or equal (color selection by owner).
- f) **Paint Removal: Manual or Mechanical Paint Removal**  
Strip paint from all sides of component surfaces using manual wet scraping or power tooling with HEPA vacuum dust collector. Contractor shall notify construction manager location and facility to be used for any off-site paint removal.  
After passing visual inspection and XRF testing clearance passing by the Inspector, Prep, Prime, and Paint or Stain all new or existing stripped components unless specifically indicated otherwise.
- g) **Siding (Vinyl) and Aluminum Wrap Trim:** Remove any existing old vinyl, aluminum, cedar shakes, etc., as needed for proper installation of new siding. Furnish materials and replace any rotted/deteriorated components such as soffits, fascia, rake boards damaged siding, trim, etc., prior to siding and wrapping. Materials to include but not limited to siding, J moldings, under sill moldings along with all labor needed to complete work, (color by owner). Furnish materials and install vinyl soffit material, at all gables, soffits, porch ceilings, owners choice of color. Furnish materials and wrap all window trim door trim, gables, fascia porch trim, etc. with aluminum coil stock, (color by owner). Furnish materials and shim existing trim walls, siding, reinforce soffits, fascia etc., where needed to acquire proper and uniform finish. Vinyl siding shall be of first quality, 50-year warranty. Install insulation board in accordance with manufacturer's instructions to enclose underlying lead-based paint. Remove and replace lead-based painted components such as attic vents or combination gable and soffit vents to meet ventilation requirements for roof and attic areas. Install vinyl siding and wrap trim with aluminum or vinyl in accordance with manufacturer's specifications. Furnish materials and caulk all doors, windows, trim with high quality silicone caulk. Furnish materials and liquid encapsulate any remaining components ie., wall decorative trim, that are not enclosed and / or wrapped in aluminum.
- h) **Trim:** "Window trim" shall include all trim around a window such as casings, headers, stops, sills, and aprons. "Casing" includes the trim at the sides and the top of a window or door unless otherwise noted. "Upper and/or lower trim" includes soffits, fascia, rakes, decorative trim, and any other trim on house façades. Remove or protect any existing electrical receptacles, hardware, and mounted objects that are on the trim prior to the application of liquid encapsulant to avoid the inadvertent application of encapsulant to these items. Protect adjacent finishes from splatter of

liquid encapsulants or other damage. Reinstall all items that were removed.

i) **Specialized Cleaning Procedures**

A. Complete all necessary work area preparation in each area prior to commencing abatement in that area. Include tack mats with peel away sheets at entrances to each work area.

B. Hard Smooth or Semi Porous Surfaces - Follow the cleaning procedure described below for hard smooth or semi-porous surfaces:

1. Conduct a thorough HEPA vacuuming of all surfaces. Start at the back side of the room. Clean from the top to bottom from ceiling to floor including all horizontal surfaces, working towards the room entrance. Use detergent wipes to wet wipe all surfaces including but not limited to ceiling, trim crown moldings, cabinets, counter tops, window trim (sills, wells), wall or door trim, radiators, baseboards, etc.
2. Wash the floor with a string mop equipped with wringer. Use a five (5)% phosphate and water solution or approved alternate cleaning detergent, wet wipe/ pads for lead clean up. For wet moping, wring the mop into an empty bucket after each cleaning and before dipping the mop back into the cleaning solution.
3. Repeat sequence of cleaning many times necessary to pass visual inspection.

C. Area Rugs - Follow the cleaning procedure described below for area rugs:

1. HEPA vacuum the top side of the rug for one (1) minute per ten (10) square feet.
2. Fold the rug in half and HEPA vacuum the back side of the rug and the underlying floor at a rate of one (1) minute per ten (10) square feet.
3. Repeat step (2) for the other half of the rug.
4. Unfold the rug and HEPA vacuum the top at a rate of two (2) minutes per ten (10) square feet.

D. Carpet - Follow the cleaning procedure described below for carpet:

- a. HEPA vacuum the carpet at a rate no faster than two (2) minutes per ten (10) square feet. Vacuum in a side-to-side motion.
- b. HEPA vacuum the carpet in the opposite direction at a rate no faster than two (2) minutes per ten (10) square feet. Vacuum in a side-to-side motion.
- c. Steam extraction cleaning may be used for carpets contaminated with trapped dirt and stains.

j) **Ground Cover (Mulch)**

Cut back and dispose any vegetation and paint chips or associated debris.

Remove contaminated topsoil by excavating to a minimum 3" inches depth.

Provide and install black polypropylene landscape fabric to cover entire excavated area, making relief cuts for shrubs. Secure landscape fabric with spikes.

Provide and install boarder edging and cover with bark mulch.

Materials Include:

Black Polypropylene Landscape Fabric  
Black Plastic Spikes  
Black Polypropylene Boarder Edging  
Mulch

## **PART 3 EXECUTION**

### **3.1 WORKER HYGIENE PRACTICES**

- a. Workers shall don protective gear prior to entering work area including respirators, disposable coveralls, hard hat, and safety-toe footwear. When performing work involving hazardous materials, no street clothes shall be permitted to be worn under protective clothing. The Contractor shall provide a clean area for workers to store street clothes and personal belongings.
- b. Eye protection, head protection, and ear protection shall be provided to each worker.
- c. The Contractor is responsible for using safe procedures to avoid electrical hazards. All temporary electrical wiring will be protected by GFIs.
- d. As required, in accordance with requirements for related work that may include Asbestos Abatement, regulated or other hazardous abatement plan for specific additional worker hygiene requirements.

### **3.2 WORK AREA PREPARATION**

#### **A. Interior**

1. The tenants are responsible for packing all personal items for removal out of proposed lead abatement area(s). The Contractor shall move the personal belongings to an easily accessible area to maintain tenant access to their belongings.
2. The Contractor shall remove all moveable objects from the proposed work area.
3. The Contractor shall cover all non-moveable objects with a single layer of drop cloth.
4. The Contractor shall cover the floors with a drop cloth.
5. The Contractor shall cover ducts, diffusers, exhausts, windows, door openings or other penetrations with a single layer of polyethylene sheeting.
6. Install six-mil critical barriers over the interior of window openings if window will be removed from the exterior of the building.
7. When working with lead hazard correction, interior preparations must be performed in accordance with appropriate federal, state, local regulations and/or guidance or generally accepted practices where regulations do not exist.

#### **B. Exterior**

1. The Contractor shall prepare the exterior areas to prevent dust and other construction debris from spreading away from the work area.

2. When working with hazardous lead contaminated materials, exterior preparations must be performed in accordance with appropriate federal, state, local regulations and/or guidance or generally accepted practices where regulations do not exist.

### 3.3 WORK PROCEDURES

- A. The Contractor shall immediately place components into appropriate waste container.
  1. Removal and Replacement Procedures
    - a. The Contractor shall conduct work area preparation as specified in Sections 3.1 and 3.2 prior to conducting activities.
    - b. Where doors are to be replaced, remove the door from the hinges and remove the hinges from the jamb. Avoid damaging the existing jamb if it is to remain.
    - c. Reinstall the new door, hinges and appropriate hardware. Ensure the door is plumb and open and closes smoothly.
    - d. All doors shall be accurately cut and fitted to frames and must operate freely without binding.
    - e. For entry doors, insulate between the door jambs and rough opening with spun fiberglass prior to trimming the interior of the door.
    - f. Where door systems are to be replaced with pre-hung doors, remove the door, casing if necessary and avoid damage, remove the door stop and door jamb.
    - g. Reinstall new pre-hung system, level and plumb, the door should open and close smoothly.
    - h. Re-install the door casing if removed, if the door casing was damaged during removal, install new door casing to match exist trim.
- B. All work performed within the scope of work shall be performed compliance with federal, state and local codes, regulations, ordinances, manufacturers specification and generally accepted industry practices.
- C. All work involving plumbing, electrical, carpentry and HVAC systems shall be performed by trained, certified and licensed contractors in accordance with state and local regulations where necessary.

### 3.4 FINISH WORK AND WORKMANSHIP

- A. The Contractor shall be responsible for all finish work, unless specified otherwise, including but not limited to sanding, caulking, puttying, nail head filling, screw head

filling, capping, cleaning, priming and painting.

- B. All newly installed surfaces and or components, including but not limited to, wood trim, wood doors, wood enclosures, wood windows, sheetrock, paneling, luan, and all other materials used for work of this project primed and painted in accordance with paint manufacture's specifications. Color by Owner.
- C. Workmanship shall be of the highest quality and all installations, applications, repairs, removals, etc. shall be made to fit and blend with the existing surfaces to the best extent feasible.
- D. The finish work shall be approved at the discretion of the Owner or their designated representative Owner. Re-work shall be performed at no additional cost to the Owner.
- E. The Contractor shall leave the area clean of all debris and waste generated by the Contractor's work.
- F. The Contractor shall replace any furniture or other items the moved to perform the work back to the original place.

### 3.5 DISPOSAL OF WASTE MATERIALS

- A. The Contractor shall perform the following:
  - 1. Contractor shall dispose of hazardous waste generated in the performance of this Contract. Contractor shall provide invoices to substantiate hazardous waste disposal costs. All hazardous and/or regulated waste must be stored and disposed of in accordance all local, state and federal regulations.

### 3.6 PROJECT COMPLETION INSPECTION

- A. A visual inspection shall be conducted at the completion of lead abatement to determine compliance with this plan. The Contractor shall notify minimum of forty-eight (48) hours before the visual inspection.
  - 1. Every building component upon which removal of lead-based surfaces has been performed will be tested using XRF. All components tested shall have a level of lead less than toxic to meet the clearance criteria.
  - 2. Dust Wipe samples shall be collected in each room or area where lead-based paint has been abated, a dust wipe shall also be collected from the floor outside the containment within ten (10) feet of the entrance to the abatement area upon completion of abatement activities.
  - 3. The following criteria to be met for final clearance dust wipe samples
    - Floor < 5  $\mu\text{g}/\text{ft}^2$
    - Windowsill < 40  $\mu\text{g}/\text{ft}^2$
    - Window well < 100  $\mu\text{g}/\text{ft}^2$
    - Porch Floor < 40  $\mu\text{g}/\text{ft}^2$

END OF SECTION

## **Four (4) Attachment "A" Documents**

- **Annual Statement**
- **Corporate Resolution**
- **Debarment Certification**
- **Financial Disclosure**

**CITY OF WATERBURY**

**ANNUAL STATEMENT OF FINANCIAL INTERESTS (Calendar Year 20\_\_)**

**Persons or Entities Conducting Business with the City**

**I. Outstanding Purchase Orders of Contracts with the City**

**A. Contracts**

**No Contracts with the City**

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(Service or Commodity Covered by Contract)

(Term of Contract)

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(Service or Commodity Covered by Contract)

(Term of Contract)

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(Service or Commodity Covered by Contract)

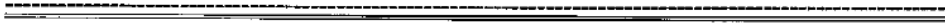
(Term of Contract)

**B. Purchase Order(s).**

**No Purchase Order(s) with the City**

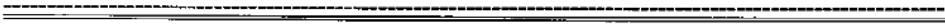
(Service or Commodity Covered by Purchase Order)

(Date of Purchase Order)



(Service or Commodity Covered by Purchase Order)

(Date of Purchase Order)



(Service or Commodity Covered by Purchase Order)

(Date of Purchase Order)

**II. Financial Interest Disclosure**

(Public Officials, Employees or Board and Commission Members with interest in Person or Entity Conducting Business with the City)

**No Officials, Employees or Board and Commission Members with Financial Interest**

[Empty box for Name of Official]

(Name of Official)

[Empty box for Position with City]

(Position with City)

[Empty box for Nature of Business Interest]

(Nature of Business Interest)  
(e.g. Owner, Director etc...)

Interest Held By:

Self  Spouse  Joint  Child

---

---

[Empty box for Name of Official]

(Name of Official)

[Empty box for Position with City]

(Position with City)

[Empty box for Nature of Business Interest]

(Nature of Business Interest)  
(e.g. Owner, Director etc...)

Interest Held By:

Self  Spouse  Joint  Child

1. I certify that this Annual Statement of Financial Interests is a complete and accurate statement of those matter required to be disclosed by me pursuant to §40.51 of the Code of Ordinances.

2. I understand that if I fail to file an Annual Statement (or amendment thereto) or an inaccurate Statement I will be in violation with Chapter 40 of the Code of Ordinance and, thereby, subject to the remedies set forth in §§38.71 and 40.81 of said Code.

3. I understand that I must file with the City Clerk, within fifteen (15) days following any reportable occurrence, any amendments to the Annual Statement.

I have read and agree to the above certification.

\_\_\_\_\_  
(Name of Company, if applicable)

\_\_\_\_\_  
Signature of Individual (or Authorized Signatory)

Date \_\_\_\_\_

\_\_\_\_\_  
Print or Type Name and Title (if applicable)

DELIVERED

| By Mail

Hand-Delivered

## CORPORATE RESOLUTION

I, \_\_\_\_\_, hereby certify that I am the duly elected and acting Secretary of \_\_\_\_\_ Corporation, a corporation organized and existing under the laws of the State of \_\_\_\_\_, do hereby certify that the following facts are true and were taken from the records of said corporation.

The following resolution was adopted at a meeting of the corporation duly held on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

“It is hereby resolved that \_\_\_\_\_ is authorized to make, execute and approve, on behalf of this corporation, any and all contracts or amendments thereof”.

And I do further certify that the above resolution has not been in any way altered, amended, repealed and is now in full force and effect.

IN WITNESS WHEREOF, I hereunto set my hand and affix the corporate seal of said \_\_\_\_\_ corporation this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Secretary

## Debarment, Suspension, Ineligibility and Exclusion

*If the transaction is Federally funded, in whole or in part (including pass through grants to state and/or municipal government), this certification is required by the regulations implementing one or more Presidential Executive Orders. If this transaction is funded by the State of Connecticut, in whole or in part, this certification is required in accordance with one or more State of Connecticut general statutes.*

1. By signing and submitting the attached proposal and/or this document, the prospective lower tier participant, vendor, or contractor is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant, vendor, or contractor knowingly rendered an erroneous certification, in addition to other remedies available to the Federal, State, or City government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant, vendor or contractor shall provide immediate written notice to the person to which the attached proposal and/or this document is submitted if at any time the prospective lower tier participant, vendor or contractor learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction", "debarred", "suspended", "ineligible", "lower tier covered transaction", "participant", "person", "primary covered transaction", "principal", "proposal", and "voluntarily excluded", as used herein, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 and/or State of Connecticut statutes and regulations. You may contact the person to which this proposal and/or this document is submitted for assistance in obtaining a copy of the foregoing.
5. The prospective lower tier participant, vendor or contractor agrees by submitting the attached proposal and/or this document that, should the proposed covered transaction be entered into, it shall not knowingly enter into any covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant, vendor or contractor further agrees by submitting the attached proposal and/or this document that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion-Lower Tier Covered Transactions", without modification, in all covered transaction and in all solicitations for covered transactions.
7. A participant in a covered transaction may rely upon the certification of a prospective participant in a covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may but is not required to, check the Non-procurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required herein. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

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9. Except for transactions authorized under paragraph 5 herein, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred

ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal, State or Municipal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

**Certification**

(1) The prospective recipient of monies hereby certifies, by submission of its attached proposal and/or by execution of this document, that neither it nor its principles are presently debarred, suspended, proposed for debarment, declared ineligible, disqualified, or voluntarily excluded from bidding or participation in the proposed transaction by any Federal, State, or Municipal department or agency or by the statutes, regulations or ordinances of the foregoing departments and agencies.

(2) Where the prospective recipient of monies is unable to certify to any of the statements in this certification, such prospective participant shall attach a written explanation hereto.

Full Legal Name and address of Recipient, Vendor, or Contractor: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Print Name and Title of Authorized Representative: \_\_\_\_\_

Signature of Authorized Representative: \_\_\_\_\_

Date: \_\_\_\_\_ legatt27/forms\debarment-revised-February , 2004

**CITY OF WATERBURY  
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO  
THE CITY OF WATERBURY**

For the purposes of this Disclosure of Outstanding Financial Obligations, the following definitions apply:

(a) "Contract" means any Public Contract as defined below.

(b) "Person" means one (1) or more individuals, partnerships, corporations, associations, or joint ventures.

(c) "Public Contract" means any agreement or formal commitment entered into by the city to expend funds in return for work, labor, services, supplies, equipment, materials or any combination of the foregoing, or any lease, lease by way of concession, concession agreement, permit, or per agreement whereby the city leases, grants or demises property belonging to the city, or otherwise grants a right of privilege to occupy or to use said property of the city.

(d) "City" means any official agency, board, authority, department office, or other subdivision of the City of Waterbury.

State of \_\_\_\_\_

SS.: \_\_\_\_\_

County of \_\_\_\_\_

\_\_\_\_\_, being first duly sworn, deposes and says that:

1. I am the *owner, partner, officer, representative, agent or* \_\_\_\_\_ of \_\_\_\_\_ (Contractor's Name), the Contractor that has submitted the attached agreement.

2. I am fully informed respecting the preparation and contents of the attached Agreement and of all pertinent circumstances respecting such Agreement;

3. That as a person desiring to contract with the City (check all that apply):

\_\_\_\_\_ The Contractor and each owner, partner, officer, representative, agent or affiliate of the Contractor has filed a list of taxable personal property with the City of Waterbury for the most recent grand list, as required by Conn. Gen. Stat. §12-42.

\_\_\_\_\_ Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor are required to file a list of taxable personal property with the City of Waterbury for the most recent grand list, as required by Conn. Gen. Stat. §12-42.

\_\_\_\_\_ Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor either directly or through a lease agreement, owes back taxes to the City of Waterbury

\_\_\_\_\_ Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor either directly or through a lease agreement, has any other outstanding obligations to the City of Waterbury

4. The following list is a list of the names of all persons affiliated with the business of the Contractor, if none state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Service or Material	DOB
1				
2				
3				
4				

5. That as a person desiring to contract with the City:

(a) The Contractor or an owner, partner, officer, representative, agent or affiliate of the Contractor provides, or has provided, services or materials to the City within one (1) year prior to the date of this disclosure, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Service or Material	DOB
1				
2				
3				
4				

(b) The Contractor possesses an ownership interest in the following business organizations, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Organization Name	Address	Type of Ownership
1		
2		
3		
4		

(c) The following persons possess an ownership interest in the Contractor. If the Contractor is a corporation, list all of the officers of the corporation and the names of each stockholder whose shares exceed twenty-five (25) percent of the outstanding stock, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	DOB	Stock %
1			
2			
3			
4			

(d) Of the following of the affiliates, individuals or business entities identified in this affidavit, list each that owns, owned, or within one (1) year prior to the date of this disclosure has owned, taxable property situated in the City of Waterbury, if none state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Address	DOB
1				
2				
3				
4				

(e) If the Contractor conducts business under a trade name, the following additional information is required: the place where such entity is incorporated or is registered to conduct such business; and the address of its principal place of business, if none, state none:

TRADE NAME	PLACE OF INCORPORATION/REGISTRY	PRINCIPAL PLACE OF BUSINESS
1		
2		

3		
4		

I hereby certify that the statements set forth above are true and complete, and I understand that any incorrect information or omission of information from this affidavit may result in the immediate termination of the Contractor's agreement with the City of Waterbury.

---

**For Partnership**

---

In presence of:

\_\_\_\_\_ (Name of Partnership)

By: \_\_\_\_\_  
(Name of General Partner)

\_\_\_\_\_  
(Business Address)

---

**For Corporation**

---

Attest \_\_\_\_\_ (Corporate Principal)

\_\_\_\_\_  
(Business Address)

Affix Corporate Seal

\_\_\_\_\_  
(Name of Corporation)

By: \_\_\_\_\_  
(Name of Authorized Corporate Officer)

Its \_\_\_\_\_  
(Title)

State of \_\_\_\_\_ )

) SS

County of \_\_\_\_\_ )

\_\_\_\_\_ being duly sworn,

deposes and says that he/she is \_\_\_\_\_ of \_\_\_\_\_ and that he/she answers to the foregoing questions and all statements therein are true and correct.

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

\_\_\_\_\_  
(Notary Public)

My Commission Expires: \_\_\_/\_\_\_/20\_\_\_

**Kevin McCaffery**  
**DIRECTOR OF PURCHASING**  
**235 GRAND STREET**  
**WATERBURY, CT 06702**

**Attachment C**

The undersigned declares that the only persons or parties interested in this Proposal as principals are as stated; that the Proposal is made without any collusion with other persons, firms, or corporations; that Proposer has carefully examined the entire Request for Proposal; that Proposer has informed itself fully in regard to all conditions pertaining to the Work and the place where it is to be performed; and that with this representation, the undersigned makes this Proposal. These prices shall cover all expenses incurred in performing the Work required under the Contract Documents, of which this Proposal and Form are a part.

The undersigned agrees and covenants that the Contract Time shall commence on delivery of the City of Waterbury's written notice to proceed, which shall occur after contract execution by both parties.

The undersigned acknowledges receipt of addenda numbered: (insert date)

1 \_\_\_\_\_ 4 \_\_\_\_\_

2 \_\_\_\_\_ 5 \_\_\_\_\_

3 \_\_\_\_\_ 6 \_\_\_\_\_

All Work for this Project shall be performed at the Proposal Prices as described in the Proposal Documents.

The undersigned hereby certifies under the penalties of perjury that this Proposal is in all respects bona fide, fair and made without collusion or fraud with any other person. As used in this section, the work "person" shall mean any natural person, joint venture, partnership, corporation, or other business or legal entity.

\_\_\_\_\_  
Social Security Number  
or Federal Identification Number

\_\_\_\_\_  
Signature of Individual or Corporate Name

\_\_\_\_\_  
Corporate Officer  
(if applicable)

City notice of acceptance should be mailed, telegraphed or delivered to the undersigned Proposer at the following address:

Name \_\_\_\_\_

By: \_\_\_\_\_  
(Title)

Business Address: \_\_\_\_\_

\_\_\_\_\_

Phone: \_\_\_\_\_

Date: \_\_\_\_\_

Note: If the Proposer is a corporation, indicate State of incorporation under signature, and affix corporate seal; if a partnership, give full names and residential addresses, if different from business address.